

Avoiding Differences  
Spanning Trees in Grid Graphs  
The Firefighter Problem

# Automated Proof and Discovery in Three Combinatorial Problems

Ph.D. Thesis Defense

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Department of Mathematics  
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August 14, 2009  
For Partial Fulfillment of Ph.D. Requirements

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# Avoiding Differences

## Starting and ending with The Triangle Conjecture

- ▶ We will investigate the quantity  $f_{\Delta}(n)$ , defined by

$$f_{\Delta}(n) = \max\{|X| \mid X \subseteq [n] \text{ and } X \text{ avoids differences in } \Delta\}.$$

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**Result** Enumeration schemes for computing  $\{f_{\Delta}(n)\}_{n=1}^{\infty}$  and *proving* its behavior.

**Result** An asymptotic version of the Triangle Conjecture.

# Spanning Trees in Grid Graphs

Graphs of the form  $G \times P_n$  or  $G \times C_n$

- ▶ We will extend the methods used by Desjarlais and Molina to compute the sequence  $\{\tau_G(n)\}_{n=1}^{\infty}$ , where

$$\tau_G(n) = \text{number of spanning trees in } G \times P_n.$$

- ▶ Enumeration schemes that calculate and *prove* full information:
  - Recurrence
  - Generating function
  - Closed-form formula.

**Result** Spanning tree sequences are *divisibility sequences*.

# The Firefighter Problem

On  $\mathbb{Z} \times \mathbb{Z}$

- ▶ We will introduce the problem.

# Introduction

## The Triangle Conjecture

- The Triangle Conjecture deals with *codes*, which are subsets  $C$  of the set

$$\mathcal{A}_m = \{x^i y x^j \mid i + j \leq m\}$$

where  $C^*$  exhibits unique factorization.

### Example

$\{y, xy, yx\} \subseteq \mathcal{A}_2$  is *not* a code, for

$$yxy = y \cdot xy$$

$$yxy = yx \cdot y$$

## Conjecture (Schützenberger-Perrin 1980)

*If  $C \subseteq \mathcal{A}_m$  is a code, then  $|C| \leq m$ .*

► Shortly afterward, Shor exhibited a code  $C \subseteq \mathcal{A}_{15}$  with 16 elements.

## Important Point

*Shor's counterexample relied on finding large sets avoiding prescribed differences.*

## Definition

Generally,  $f_{\Delta}(I; S)$  is the size of the largest subset of  $I$  that avoids differences in  $\Delta$  and elements in  $S$ . Additionally,  $f_{\Delta}(I) = f_{\Delta}(I; \emptyset)$  and  $f_{\Delta}(n; S) = f_{\Delta}([n]; S)$ .

## Lemma (R.)

If  $1 \in S$  then

$$f_{\Delta}(n; S) = f_{\Delta}(n - 1; S - 1)$$

otherwise,

$$f_{\Delta}(n; S) = \max\{f_{\Delta}(n - 1; S - 1), 1 + f_{\Delta}(n - 1; \Delta \cup (S - 1))\}.$$

- ▶ Given  $\Delta, S$ , the number of different parameters needed in the enumeration scheme to compute  $f_{\Delta}(n; S)$  is finite.

### Theorem (R.)

*Given any finite  $\Delta, S$ , the sequence  $(f_{\Delta}(n; S))$  is eventually pseudoperiodic.*

- ▶ A theorem-prover proving the structure of  $(f_{\Delta}(n; S))$  has been implemented.

## Definition

$$\mu(\Delta) = \lim_{n \rightarrow \infty} \frac{f_{\Delta}(n)}{n}.$$

$\mu(\Delta)$  is rational.

## Theorem (R. - Asymptotic Version of Triangle Conjecture)

$$\mu(X - X) \leq \frac{1}{|X|}.$$

## Proof.

Let  $X = \{x_1, x_2, \dots, x_k\}$  and consider

$$\{x_1 + 0, x_2 + 0, \dots, x_k + 0\}$$

$$\{x_1 + 1, x_2 + 1, \dots, x_k + 1\}$$

$$\{x_1 + 2, x_2 + 2, \dots, x_k + 2\}$$

⋮

To avoid differences, we can only have one element from each set. Each  $n \in \mathbb{N}$  is represented at most  $k (= |X|)$  times in this family of sets. □

# History

- ▶ The Matrix Tree Theorem will compute the number of spanning trees of any graph  $G$ .
- ▶ The Matrix Tree Theorem does *not* provide any information about the number of spanning trees of an infinite family of graphs.

# History

(continued)

- ▶ Desjarlais and Molina created an enumeration scheme to compute  $\tau_{P_2}(n)$ , the number of spanning trees of  $P_2 \times P_n$ .
- ▶ To compute  $\tau_{P_2}(n)$ , they also computed  $\tau'_{P_2}(n)$ , defined as the number of spanning *forests* of  $P_2 \times P_n$  with the special property that the two vertices on the right end are in different components.

This yields the enumeration scheme

$$\tau_{P_2}(n) = 3\tau_{P_2}(n-1) + \tau'_{P_2}(n-1)$$

$$\tau'_{P_2}(n) = 2\tau_{P_2}(n-1) + \tau'_{P_2}(n-1)$$

# History

(continued)

- ▶ From this, they deduced that  $\tau_{P_2}(n)$  satisfies the recurrence

$$\tau_{P_2}(n) = 4\tau_{P_2}(n-1) - \tau_{P_2}(n-2)$$

with the initial conditions  $\tau_{P_2}(1) = 1$ ,  $\tau_{P_2}(2) = 4$ .  $\tau'_{P_2}(n)$  also satisfies the same recurrence but  $\tau'_{P_2}(2) = 3$ .

- ▶ We generalize and formalize this framework.

# Contributions

- ▶ This can be extended to a formal framework if we consider the enumeration scheme that counts, for a graph  $G$  on  $n$  vertices, *all* values  $a_G(n; P)$  for all partitions  $P$  of  $[n]$ .
- ▶ We must compute, for all  $P$  and  $P'$ , the number of different ways we can append edges to *transition* from a spanning tree represented in  $\tau_G(n; P)$  to one represented in  $\tau_G(n; P')$ . This naturally yields a matrix,  $A_G$ .

## Example

If  $G = K_3$  our transition matrix is

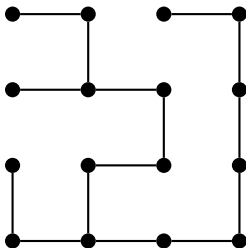
$$\begin{bmatrix} 16 & 8 & 8 & 8 & 3 \\ 4 & 3 & 2 & 2 & 1 \\ 4 & 2 & 3 & 2 & 1 \\ 4 & 2 & 2 & 3 & 1 \\ 3 & 2 & 2 & 2 & 1 \end{bmatrix}$$

# Results

- ▶ Full sequence information for all graphs up to 5 vertices, with plans to find all information for all graphs on 6 vertices (about 25% complete).
- ▶ Interesting conjectures:
  - Characteristic polynomial always factors into polynomials of degrees a power of 2.
  - Coefficients of characteristic polynomial alternate in sign – suggests potential reformulation in terms of Inclusion-Exclusion.
  - Recurrence of minimum order for  $P_k \times P_n$  has order  $2^{k-1}$ .
  - Recurrence of minimum order for  $K_k \times P_n$  has order  $k$ .

# Spanning Tree Sequences are Divisibility Sequences

A spanning tree of  $G \times P_{2n}$  can be split into three parts: a left tree, a right tree, and the middle edges.



$\text{COMP}(P, \text{MID})$  is the set of partitions that is compatible with the left-hand partition and the middle edges.

## Lemma (Split-Merge Lemma)

$$\begin{aligned}
 & \sum_{\text{MID} \in \binom{[v]}{k}} \sum_{P \in \mathcal{P}_v(p)} \tau_G(n; P) \sum_{P' \in \text{COMP}(P, \text{MID})} \tau_G(n; P') \\
 &= \\
 & \binom{k-1}{p-1} \tau_G(n) \sum_{P \in \mathcal{P}(e)} (\prod P) \tau_G(n; P).
 \end{aligned}$$

Given a graph  $G$ , a fire is placed at a specified vertex and at discrete time intervals  $t \geq 0$ ,  $f(t)$  firefighters are placed on unoccupied vertices, and then the fire spreads to adjacent vertices that are not protected nor already on fire.

### Question

*If  $G$  is finite, can the fire be contained with vertices that are neither on fire nor protected? If  $G$  is infinite, can the fire be contained?*

### Theorem (Wang-Moeller)

*If  $f(t) = 1$ , then no fire can be contained in the two-dimensional grid. If  $f(t) = 2$ , then any finite fire can be contained in the two-dimensional grid.*

### Theorem (Ng-R.)

*If  $f$  is periodic and the average number of firefighters per turn is  $1.5 + \epsilon$ , then any finite fire can be contained in the two-dimensional grid.*

This theorem can be extended further to deal with non-periodic functions.

## Theorem (R.)

*The function  $f$  defined by*

$$f(t) = \begin{cases} 3 & \text{if } t \text{ is odd} \\ 0 & \text{if } t \text{ is even} \end{cases}$$

*can not give a convex solution to a point fire in the two-dimensional grid.*