

The exterior algebra of  $K$ -modules and the Cauchy-Binet formula

Let  $K$  be a commutative ring, and let  $M$  be a  $K$ -module. Recall that the tensor algebra  $T(M) = \bigoplus_{i=0} M^{\otimes i}$  is an associative  $K$ -algebra and that if  $M$  is free of finite rank  $m$  with basis  $v_1, \dots, v_m$  then  $T(M)$  is a graded free  $K$  module with basis for  $M^{\otimes k}$  given by the collection of  $v_{i_1} \otimes v_{i_2} \otimes \dots \otimes v_{i_k}$  for  $1 \leq i_t \leq m$ . Hence  $M^{\otimes k}$  is a free  $K$ -module of rank  $m^k$ .

We checked in class that the tensor algebra was functorial, in that when  $f : M \rightarrow N$  is a  $K$ -module homomorphism, then the induced map  $f^{\otimes i} : M^{\otimes i} \rightarrow N^{\otimes i}$  gives a homomorphism of  $K$ -algebras  $T(f) : T(M) \rightarrow T(N)$  and that this gives a functor from  $K$ -modules to  $K$ -algebras.

The ideal  $I \subset T(M)$  generated by elements of the form  $x \otimes x$  for  $x \in M$  enables us to construct an algebra  $\Lambda(M) = T(M)/I$ . Since  $(v+w) \otimes (v+w) = v \otimes v + v \otimes w + w \otimes v + w \otimes w$  we have that (modulo  $I$ )  $v \otimes w = -w \otimes v$ . The image of  $M^{\otimes i}$  in  $\Lambda(M)$  is denoted  $\Lambda^i(M)$ , the  $i$ -th exterior power of  $M$ . The product in  $\Lambda(M)$  derived from the product on  $T(M)$  is usually called the wedge product :  $v \wedge w$  is the image of  $v \otimes w$  in  $T(M)/I$ .

Since making tensor algebra of modules is functorial, so is making the exterior algebra. In particular given a homomorphism of  $K$ -modules  $f : M \rightarrow N$  there is a homomorphism  $\Lambda(f) : \Lambda(M) \rightarrow \Lambda(N)$  and homomorphisms  $\Lambda^k(f) : \Lambda^k(M) \rightarrow \Lambda^k(N)$ .

If  $M$  is free of finite rank  $m$  with basis  $v_1, \dots, v_m$  then  $\Lambda(M)$  is a graded free  $K$  module with basis for  $\Lambda^k(M)$  given by  $v_{i_1} \wedge v_{i_2} \wedge \dots \wedge v_{i_k}$  with  $i_1 < i_2 < \dots < i_k$  (recall that  $v_i \wedge v_j = -v_j \wedge v_i$  and that  $v_i \wedge v_i = 0$ ). In this case  $\Lambda^k(M)$  is a free  $K$ -module of rank  $\binom{m}{k}$ , the number of  $k$ -element subsets of an  $m$ -element set. Thus  $\Lambda^k(M) = 0$  if  $k > m$ , and  $\Lambda^m(M) = K v_1 \wedge \dots \wedge v_m$  has rank 1 and is isomorphic to  $K$ . In this situation any  $K$ -module endomorphism  $f : M \rightarrow M$  gives a  $K$ -module map  $\Lambda^m(f) : K \rightarrow K$  which is determined by the image of 1, which we will denote by  $\det(f)$ . For example, if  $m=2$ , and  $f(v_1) = av_1 + bv_2, f(v_2) = cv_1 + dv_2$  then  $\Lambda^2(f)(v_1 \wedge v_2) = (av_1 + bv_2) \wedge (cv_1 + dv_2) = (ad - bc)v_1 \wedge v_2$  so the determinant of  $f$  is  $\det(f) = ad - bc$ .

By functoriality, if  $f : M \rightarrow M, g : M \rightarrow M$  are  $K$ -endomorphisms of  $M$  then  $\Lambda^m(g \circ f) = \Lambda^m(g) \circ \Lambda^m(f)$  so that  $\det(g \circ f) = \det(f) \det(g)$ .

When  $M$  is free over  $K$  of rank  $m$  with basis  $v_i$ , and  $N$  is free of rank  $n$  with basis  $w_j$  each  $K$  homomorphism  $f : M \rightarrow N$  determines an  $m \times n$  matrix  $A = \{a_{ij}\}$  via  $f(v_i) = \sum_j a_{ij} w_j$ . The module  $\Lambda^k(M)$  has a basis  $\{v_S\}$  indexed by  $k$ -element subsets  $S \subset \{1, \dots, m\}$  and  $\Lambda^k(N)$  has basis  $\{w_T\}$  indexed by  $k$ -element subsets  $T \subset \{1, \dots, n\}$ . To compute the matrix of  $\Lambda^k(f)$  is to compute the coefficient  $a_{ST}$  of  $W_T$  in  $\Lambda^k(f)(v_S)$ . Given  $S, T$  as above we can compute this by considering the submodule  $V$  spanned by  $v_i : i \in S$  of  $M$  and quotient module  $W$  of  $N$  spanned by  $w_j : j \in T$ . The sequence  $V \rightarrow M \rightarrow N \rightarrow W$  where the middle arrow is the homomorphism  $f$  gives a homomorphism  $V \rightarrow W$  associated to the  $k \times k$  submatrix of elements of the matrix of  $f$  lying in rows indexed by  $S$  and columns indexed by  $T$ . Taking the  $k$ -th exterior power gives a sequence  $\Lambda^k(V) \rightarrow \Lambda^k(M) \rightarrow \Lambda^k(N) \rightarrow \Lambda^k(W)$  showing that  $a_{ST}$  is the  $1 \times 1$  matrix associated to the homomorphism from the first to the last module in the sequence. This is the

determinant of the  $k \times k$  submatrix of  $A$  formed by elements in rows indexed by  $S$  and columns indexed by  $T$ , which we will call the  $ST$  minor  $A_{ST}$  of  $A$ .

If  $P$  is free of rank  $p$ , the composition of  $f$  with a  $K$  endomorphism  $g : N \rightarrow P$  associated to an  $n \times p$  matrix  $B = \{b_{kl}\}$  is easily computed to be associated with the usual matrix product  $AB$ . Taking  $k$ -th exterior powers we can compute the matrices associated to  $\Lambda^k(f), \Lambda^k(g), \Lambda^k(g \circ f) = \Lambda^k(g) \circ \Lambda^k(f)$  as in the previous paragraph. These matrices involve  $k \times k$  minors of  $A, B$  and  $AB$ .

The Cauchy-Binet formula is an explicit realization in the special case of finite rank free modules over  $K$  of the fact that taking exterior powers is a functor. Let  $S, T, U$  be  $k$ -element subsets of  $\{1, \dots, m\}, \{1, \dots, n\}, \{1, \dots, p\}$  respectively. Then the  $SU$  minor of  $AB$  is the  $SU$  entry of the matrix of  $\Lambda^k(g \circ f)$  which from the above is a product of matrices involving minors of  $\Lambda^k(g)$  and  $\Lambda^k(f)$ .

$$\text{Cauchy - Binet Formula :} \quad (AB)_{SU} = \sum_T A_{ST} B_{TU}$$

where the sum runs over all  $k$ -element subsets  $T \subset \{1, \dots, n\}$ .

The left hand side is an entry in the matrix of  $\Lambda^k(g \circ f)$ . Since this is the transformation  $\Lambda^k(g) \circ \Lambda^k(f)$  the right hand side is obtained as the result of multiplying the associated matrices.

The case  $k = 1$  is the usual formula for multiplying matrices ( $1 \times 1$  minors are just matrix entries). The case  $m = n = p = k$  is the multiplicativity of the determinant of  $n \times n$  matrices.

The first interesting case is  $k = 2$ , which is relevant to problem 3. of problem set 2.